

Part C State Annual Performance Report (APR) for FFY 2009**Overview of the Annual Performance Report Development:**

See Overview description in Indicator 1.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8B: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

A Notification to the local education agency (LEA), if child potentially eligible for Part B;

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

B. Percent = [(# of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target
FFY 2009	100%

Actual Target Data for FFY 2009:

84%

Method used to collect data and the procedures used to collect these data:

Included in the calculation for 8B were all files reviewed during on-site monitoring of three EIPs between May and June of 2009 of children who would shortly reach the age of eligibility for Part B **and** who the State had determined are potentially eligible for Part B children. See Indicator 9 for description of how monitoring sites were selected.

The reviewers looked for documentation that the Arizona Transition Planning Form, Part 1, had been sent to the LEA. Part 1 of this form serves two purposes: 1) notify the LEA of a potentially eligible child; and 2) provide an Invitation to the Transition Planning Conference. A copy of this form is evidence that the school was notified of a potentially eligible child nearing their 3rd birthday. The State does not have an Opt-Out Policy.

AZ Definition of Potentially Eligible under IDEA, Part B:

After considering Part B's eligibility criteria in conjunction with the State's narrow eligibility criteria, the State has defined a potentially eligible child under IDEA, Part B to mean a child who is eligible for AzEIP and who has an IFSP when the child is two years of age or older.

Children Exiting Part C who Received Timely Transition Planning (Notification to LEA):

a. Number of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred.	26
b. Number of children exiting Part C who were potentially eligible for Part B.	31
Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday (Notification to LEA) (Percent = [(b) divided by (a)] times 100).	84%

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:

The actual State Target data was 84 percent. The State did not meet its target and experienced slippage from FFY 2008's APR Target data of 100 percent.

Three EIPs were monitored for this Indicator and one was at 100 percent (10/10 files). The second was at 89 percent (8/9 files) and the third EIP was at 67 percent (8/12).

Accounting for Untimely Notification/Referrals to LEA:

- 1/31 was not timely due to exceptional family circumstances. This number is included in the calculation in both the numerator and the denominator.
- 1/5 untimely Notification was due to a service coordinator who did not send the Notification to the LEA when required.
- 4/5 untimely Notifications were due to procedural errors.
- Analysis of the noncompliance identified for one EIP revealed that the noncompliance was limited to two of the four service coordinators. The service coordinators were not using the appropriate State form required for Notification to the LEA. The service coordinators were using the ADE/AzEIP Child File Referral form instead of the Arizona Transition Planning Form, Part 1 as the LEA Notification. During the Site Review, the State monitoring team reviewed the procedures, outlined in the Transition IGA and AzEIP Policies and Procedures, directly with the service coordinators and their supervisor.
- Each child-specific instance of noncompliance was subsequently corrected, although late.
- Two findings of noncompliance were made during FFY 2009; action has been taken to ensure correction, and correction of these findings will be reported on in the FFY 2010 APR.

Improvement Activities	Timelines	Status
<p>AzEIP and ADE instituted an Alert system to allow local Part C and Part B representatives to notify their State contacts of compliance issues, which were not able to be resolved at the local level.</p>	<p>2007; Ongoing Alerts</p>	<p>The Alert system has provided a systematic method for DES/AzEIP and the ADE 619 Coordinator to provide TA to their programs that have been unable or unwilling to resolve issues (e.g., notification of potentially eligible children, scheduling of transition conference to occur between 2.6 - 2.9 years) with their local partners.</p> <p>This Alert system is maintained through a data sharing agreement with AzEIP and ADE that enables both the Part C staff and the 619 Coordinator to track and document Alerts in a shared tracking log.</p>
<p>Revise, if needed, the Transition IGA to align with Frequently Asked Questions document issued by OSEP in December 2009.</p>	<p>July 2010</p>	<p>The Transition IGA was revised to align with the Frequently Asked Questions document issued by OSEP in December 2009. Revisions were submitted with the State's Application. AzEIP Transition Policies and Procedures were approved and implemented in September 2010.</p>
<p>Continue annual cross-training on the Transition IGA in collaboration with ADE.</p>	<p>November 2006 and annually through 2010</p>	<p>AzEIP TAMS and the ADE 619 Coordinator focused both individual and cross-training efforts in regions that requested the training, were having trouble resolving issues locally and/or were identified through monitoring data or Alerts as needing focused technical assistance. Cross training occurred on the Navajo Nation and the border communities of Southern Arizona.</p> <p>AzEIP Continuous Quality Improvement Coordinator and the ADE 619 Coordinator presented on the Transition IGA during the ADE Director's Institute.</p> <p>The ICC and the Arizona Special Education Advisory Panel (SEAP) developed a workgroup made up of representatives from both Councils along with Raising Special Kids (RSK), Arizona's Parent Training and Information Center, and ADE Parent Information Network Specialists (PINS) to: 1) coordinate presentations and</p>

		<p>written materials across the agencies; 2) develop information for parents on transition; and 3) coordinate and collaborate across agencies in training staff, providers, and families. The workgroup met over the course of the year and completed the above tasks, including the "In By 3: What's Next for Me" parent handbook. The handbook is posted on ADE's website.</p> <p>ADE PINS and RSK provided information to Service Coordinators and LEAs regarding the training opportunities for families throughout Arizona to ensure the information was widely dispersed to families.</p> <p>The ICC/SEAP workgroup finalized the "In By 3: What's Next for Me" parent handbook, which is posted on ADE's website.</p>
Go back and enter last IA from FFY 2008.		
Incorporated herein are the improvement activities from: (i) Indicator 1 regarding expansion of the team-based model and functional, participation-based practices; (ii) Indicator 1 regarding recruitment and retention; (iii) Indicator 2 regarding the AzEIP Standards of Practice; (iv) Indicator 9 regarding revising and implementing General Supervision policies, procedures, tools and forms, root cause analysis, and enforcement and sanctions, and; (v) Indicator 14 regarding data management, editing and validation, and analysis.	July 2010	See Improvement Activities across other Indicators.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):

Improvement Activities	Timelines	Status
Continue annual cross-training on the Transition IGA in collaboration with ADE.	<p>Revise: November 2006 and annually through 2010</p> <p>To: November 2010 and annually through 2012</p> <p>Justification: Align with extension of SPP</p>	CSPD Coordinator, TAMS
Incorporated herein are the improvement activities from: (i) Indicator 1 regarding targeted T/TA; (ii) Indicator 2 regarding the AzEIP Standards of Practice; (iii) Indicator 9 regarding revising and implementing General Supervision policies, procedures, tools and forms, root cause analysis, and enforcement and sanctions, and; (iv) Indicator 14 regarding data management, editing and validation, and analysis.	<p>Revise: July 2010</p> <p>To: June 2012</p> <p>Justification: Align with extension of SPP</p>	DES/AzEIP staff, TAMS and the ADE 619 Coordinator